

AASHTO ACCREDITATION PROGRAM (AAP)



Initial Accreditation Review Form

This form is to be completed for initial accreditations. If personnel qualifications were not reviewed during the on-site assessment by AASHTO re:source or CCRL, the laboratory is required to complete the supplement at the end of this document and submit supporting documentation. Once completed, the form should be emailed along with all relevant attachments to the Quality Analyst assigned to the accreditation activity or to aap@ashtoresource.org.

Step 1. Review of Laboratory Contact Information

Please review the information on your laboratory's account on the AASHTO re:source website. Pay attention to the addresses, contacts, phone numbers, email addresses, website address, and login information that you use to access your account. If you notice that anything is not correct, please make the updates on the website. If there are any items that you cannot change on your own, please contact your Quality Analyst. This is also a good time to make sure that you have established two contacts for accreditation notifications. The primary and secondary contacts will receive all accreditation-related emails.

By entering your name, you agree that you have reviewed the information regarding your laboratory's account and either confirmed that it is accurate or have notified our office to make the appropriate changes:

Name:

Date:

Step 2. Laboratory Name

Please enter the officially licensed name of your laboratory below and include a copy of your business license from your state's Department of State.

This is our laboratory's official name:

Please attach a copy of the state-issued business license and other licenses showing any fictitious names (other name under which your company is doing business as) your laboratory would also like to include in the accreditation directory listing. We will always list the name on the state-issued license first with the fictitious name second. We will not list only the fictitious name. If your laboratory is in the state of California, you will need to also attach your county-issued license for the fictitious name confirmation.

Step 3. Laboratory Location

Please enter your laboratory's current location:

Street Address 1:

Street Address 2:

City:

State:

Country:

Zip code:

Step 4. Management

Please identify the Technical Director or Manager of Inspection / Testing Services. If this individual is a professional engineer, you must enter the information regarding the license. The biographical sketch for all Technical Directors or Managers of Inspection / Testing Services is required to be included with the submittal of this form.

Name:

Years of Experience:

Title:

Phone Number:

Email Address:

If this person is a Professional Engineer, please complete the following sections:

PE License Number:

State of Registry:

Expiration Date:

Is this person a full-time employee at this laboratory location?

If this person is responsible for the technical oversight of multiple locations, or is not exclusively stationed at this location, please attach an explanation regarding this situation. The explanation should include a description of all duties as they pertain to all locations involved even if they are not included in the scope of your laboratory's accreditation.

Step 5. Organizational Chart

Please attach a copy of your organizational chart along with this form. Be sure that it is current and includes the names and positions of all technical operational personnel.

Step 6. Miscellaneous Issues

If there are any shared staffing, shared equipment, contracting situations, or other situations which you feel may be unique to your situation, please attach an explanation of these situations with this form.

Step 7. Rights and Responsibilities

The AASHTO Accreditation Program publishes its Procedures Manual. This document describes the program's requirements and your laboratory's responsibilities. The following list summarizes some of the key components of your laboratory's rights, responsibilities, and requirements. By entering your name at the end of this document and submitting this form, you certify that you have read and agree to comply with items 1 through 13 listed below.

To become accredited and maintain accreditation, you must agree to comply with the following:

1. The laboratory must complete this document, sign and return it to AASHTO re:source along with required documentation.
2. The laboratory must comply with the requirements for accreditation, including those set forth in this document and the AAP Procedures Manual.
3. The laboratory may publicize their AASHTO Accreditation status in reports, stationery, and in business and trade publications. Advertising must accurately reflect the scope of the laboratory's accreditation and may not imply product certification.
4. The laboratory may use an appropriate accreditation logo on letterhead, brochures, and test reports. Permission for use of the

logo is limited to those cases that describe testing within the scope of the AASHTO Accreditation.

5. If the laboratory has obtained work that requires AASHTO Accreditation, it must inform the client if there are any limitations in the scope of its accreditation as it pertains to the fulfillment of the client's testing needs prior to the performance of any testing. The laboratory must also clearly identify in the test report which test method(s), or portion of test method(s), are not included in the scope of the laboratory's AASHTO Accreditation for that project.
6. The laboratory must not use its accreditation in such a manner as to bring AAP into disrepute and must not make any statement relevant to its accreditation which AAP may consider misleading or unauthorized.
7. The laboratory must return accreditation certificates to AAP and must discontinue advertising references to AASHTO Accreditation when (a) accreditation has been revoked by AAP, (b) the laboratory voluntarily withdraws from participation in AAP, or (c) the laboratory becomes unable to conform to any of the criteria required for AASHTO Accreditation.
8. The laboratory must notify AAP in writing within 60 days of any major change which may affect the scope of the laboratory's accreditation. Major changes include, but are not limited to, changes in the laboratory's quality system, changes in the capability to perform tests for which the laboratory is accredited, changes in ownership, change of location (for permanent and temporary facilities), changes in managerial personnel, or changes to the facilities.
9. The laboratory must receive appropriate on-site assessments from AASHTO re:source and/or applicable 2nd parties (such as CCRL) at regularly scheduled intervals. If the laboratory desires AASHTO Accreditation for compliance to ISO/IEC 17025, the laboratory must obtain AAP ISO/IEC 17025 audits at regularly scheduled intervals.
10. The laboratory must resolve all on-site assessment deficiencies and ISO audit nonconformities applicable to the laboratory's accreditation. The laboratory must provide AAP with evidence and documentation describing the corrective actions taken within 60 days of the date of issuance of applicable AASHTO re:source and 2nd party final reports.
11. The laboratory must participate in the appropriate AASHTO re:source or CCRL proficiency sample programs which include the specific tests for which the laboratory desires accreditation.
12. The laboratory must authorize the release of any information needed to evaluate the laboratory, such as copies of applicable 2nd party assessment and proficiency sample reports, to AAP.
13. The laboratory must pay all applicable fees. The laboratory understands that invoices issued on April 1st of each year are for accreditation services rendered in the previous calendar year. Partial year fees are billed at the time of revocation or withdrawal of accreditation.

By entering my name, I certify that I have read and agree to comply with items 1 through 13 listed above.

Name:

Date:

Summary of Documents that are required to be included along with this form:

- A state-issued business license
- Information about the Manager of Inspection / Testing Services such as years of experience, title, contact information, professional engineer's license details (if applicable), and a biographical sketch
- A letter of explanation about any situations in which the Manager of Inspection / Testing Services is not dedicated on a full-time basis to the laboratory location
- A copy of the laboratory's organizational chart including the names and positions of all technical operational staff
- A letter of explanation about any significant staffing changes, shared equipment, contracting situations, or other situations which you feel may be unique to your situation/laboratory.

Supplement to Initial Accreditation Review Form

Personnel Qualification Review

Laboratory Supervisor(s)/Supervising Laboratory Technician:

The Laboratory Supervisor performs testing on a regular basis and provides supervision to newer or less experienced laboratory technicians. This person may have other duties and responsibilities within the laboratory as well. Completing this section and submitting copies of valid certifications along with the laboratory's detailed organization chart is required for maintaining accreditation for ASMT C1077, D3740, D3666, and E329.

Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:

Laboratory Testing Technician(s):

Completing this section and submitting copies of valid certifications along with the laboratory's detailed organization chart is required for maintaining accreditation for ASTM C1077, D3740, D3666, and E329.

Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:

Field Supervisor(s)/Supervising Field Technician(s):

The Supervising Field Technician performs field testing on a regular basis and provides supervision to newer or less experienced field technicians. This person may have other duties and responsibilities within the laboratory as well. Completing this section and submitting copies of valid certifications along with the laboratory's detailed organization chart is required for maintaining accreditation for ASTM C1077, D3740, D3666, and E329.

Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:

Field Technician(s):

The Field Technician(s) performs field testing on a regular basis and provides supervision to newer or less experienced field technicians. This person may have other duties and responsibilities within the laboratory as well. Completing this section and submitting copies of valid certifications along with the laboratory's detailed organization chart is required for maintaining accreditation for ASTM C1077, D3740, D3666, and E329.

Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:
Name:	
Scope of Testing:	Years of Experience:

****Additional information regarding personnel requirements:**

A full-time Manager of Inspection or Testing Services is required for each laboratory. Please attach a detailed explanation of and substantiating documentation for the logistics involved in the management of the testing services if the Manager is not full time at this location. Please attach a detailed biographical sketch (résumé) outlining education and work experience if not a P.E. This position needs to be filled by a P.E. if the laboratory is accredited for Quality System standards that require that management and technical direction be provided by a P.E. (i.e., C1077 and E329). At least 3 years of experience is required.

The following explanation of the requirements for this position is taken from the AAP Procedures Manual:

3.4.1. Technical Director / Manager - The technical director or manager of inspection or testing services provides direction for the technical activities of the laboratory and is responsible for ensuring that all testing is carried out in a way that complies with the requirements of this document. The person holding this position shall: (1) be a full-time employee of the laboratory, (2) be a registered engineer or a person with equivalent science-oriented education, or have experience in satisfactorily directing testing or inspection services, or both, for the materials covered by the accreditation, and (3) have at least 3 years of experience in the inspection and testing of highway construction materials.

3.4.1.1. It is understood that some tests are frequently conducted at small field or peripheral locations. Therefore, it is not required that the manager be directly present at such locations at all times. If technical direction is provided by a manager who is not present at the physical location of laboratory at all times, the laboratory must provide the AASHTO Accreditation Program (AAP) with sufficient evidence that proves that technical direction is being provided on a full-time basis. It is the responsibility of the laboratory to ensure that the evidence is comprehensive. This evidence will be forwarded to the Chair of the Administrative Task Group for review. If the evidence is not found to be acceptable by the Chair of the Administrative Task Group, the laboratory's request for accreditation will be denied until the laboratory has a manager in place at that location that conforms to the requirements of Section 3.4.1.

Summary of Documents that are required to be included along with this supplemental form:

- Certifications for the people identified on the form
- If identifying management as technician level personnel, evidence of management acting solely in this capacity such as multiple testing records showing the identified management as the testing technician who performed tests that are included in the proposed scope of the laboratory's accreditation.